

Audit and Procurement Committee

20 March 2023

Name of Cabinet Member:

Cabinet Member for Policy and Leadership – Councillor G Duggins

Director Approving Submission of the report:

Interim Chief Executive (Section 151 Officer)

Ward(s) affected:

City Wide

Title:

Corporate Risk

Is this a key decision?

No

Executive Summary:

The purpose of this report is to provide the Audit and Procurement Committee with the outcome of the review of the Corporate Risk Register 2023-24 in Appendix 1. This provides the Audit and Procurement Committee with an overview of the Council's corporate risk profile and the controls in place to address these risks.

Recommendations:

The Audit and Procurement Committee are requested to:

1. Note the current Corporate Risk Register, indicating that they have satisfied themselves that corporate risks are being identified and managed.
2. Identify any areas where they require additional information (if any).

List of Appendices included:

Appendix 1 – Corporate Risk Register

Background papers:

None

Other useful documents:

None

Has it been or will it be considered by Scrutiny?

No

Has it been or will it be considered by any other council committee, advisory panel or other body?

No

Will this report go to Council?

No

Report title: Corporate Risk

1. Context (or background)

- 1.1 The Audit and Procurement Committee's Terms of Reference requires the Committee to monitor the effective development and operation of risk management within the Council. The Council's Risk Management Policy identifies that the Committee will receive an annual risk management report.
- 1.2 The Corporate Risk Register should identify the risks that threaten the successful implementation of the One Coventry Plan.
- 1.3 This report provides the Audit and Procurement Committee with the outcome of the review of the Corporate Risk Register 2023-24.

2. Options considered and recommended proposal

- 2.1 The Corporate Risk Register at Appendix 1 has been reviewed in consultation with Strategic Leadership Team and the allocated Risk Owner. It identifies the main risks facing the Council, the impact of the risk, the inherent risk score before risk mitigation, the risk mitigations, the risk score after the mitigations are applied and where responsibility lies for the Council's response.
- 2.2 It should be noted that the Corporate Risk Register covers only those risks that are viewed as the most critical for the Council and which are considered at a corporate level. Risk management activity continues throughout the Council dealing with those of a lower level.
- 2.3 The Corporate Risks and the control measures in place to address them are more fully described in Appendix 1. Audit and Procurement Committee are asked to review the content of the register and satisfy themselves that the process is operating effectively within the Council as required under the Risk Management Policy.
- 2.3 There are some changes to the Corporate Risk Register from the previous report to Members.

Risks added:

Risk 29 – Implementation of the Elections Act 2022 changes required for elections in May 2023.

Risk 30 – Building community cohesion.

Risk 31 – The continued financial stability of the Council through thoughtful commercial investment.

Risk 32 – Health and safety.

Risks removed:

No risks have been removed from the Corporate Risk Register.

Risks where there has been a significant change of focus

Risk 6 – Adult Social Care. There has been a change of focus to a growth in demand for care and support, leading to increases in the number of people waiting for assessment or review from Adult Social Care, with the result that there is greater risk of vulnerable people suffering worsening physical and mental health. The issue of waiting times and the management of risk associated with increasing demand was considered at Scrutiny Board 5 on 1 February 2023. The residual risk score has changed from 6 to 12.

Risks where the residual risk score has changed

Risk 1 - Inability to deliver a balanced budget in the medium term. The score has increased from 10 to 15.

Risk 5 - A workforce that delivers the Council's priorities. The score has increased from 4 to 6.

Risk 7 - Children's Services statutory safeguarding responsibilities. The score has increased from 12 to 20.

Risk 19 - An increase in families in temporary accommodation and street homelessness. The score has increased from 12 to 15.

Risk 22 – Children's Services workforce stability. The score has increased from 16 to 20.

Risk 28 - A deterioration in industrial/employee relations. The score has increased from 12 to 16.

3. Results of consultation undertaken

None

4. Timetable for implementing this decision

There is no implementation timetable as this is a monitoring report.

5. Comments from the Chief Operating Officer (Section 151 Officer) and the Chief Legal Officer

5.1 Financial implications

There are no financial implications directly associated with the Corporate Risk Register although the management of the risks is essential to the operation of the Council, the pursuit of its priorities and its financial integrity.

5.2 Legal implications

The maintenance and review of the Corporate Risk Register ensures that the Council meets its statutory obligation under the Accounts and Audit Regulations 2015 to have appropriate measures in place to ensure that risk is appropriately managed.

6. Other implications

6.1 How will this contribute to achievement of the Council’s plan?

Effective risk management arrangements are an integral component of strategic decision making, service planning and delivery and increasing the likelihood of achieving corporate priorities.

6.2 How is risk being managed?

The Council has a policy to support risk management arrangements across the organisation as part of its overarching governance processes. This report forms part of that practice.

6.3 What is the impact on the organisation?

Effective risk management arrangements are part of the good governance arrangements which lead to improved decision making and operational practices across the organisation.

6.4 Equalities / EIA

None

6.5 Implications for (or impact on) climate change and the environment

The Corporate Risk Register outlines the risk as the Council tackles the causes and consequences of climate change and promotes sustainability.

6.6 Implications for partner organisations?

None

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Susanna Newing	Chief People Officer	-	1/3/2023	7/3/2023
Peter Fahy	Director of Adult Services and Housing	-	1/3/2023	2/3/2023
John Gregg	Director of Children's Services	-	1/3/2023	8/3/2023
Paul Ward	Head of ICT and Digital	ICT and Digital	1/3/2023	2/3/2023
Andy Williams	Director of Business, Investment and Culture	-	1/3/2023	3/3/2023
Alison Duggal	Director of Health and Wellbeing	-	1/3/2023	2/3/2023
Kirston Nelson	Interim Chief Executive/Chief Partnerships Officer	-	1/3/2023	1/3/2023
Liz Read	Electoral Services Manager	Electoral Services, Members and Elections	1/3/2023	7/3/2023
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Councillor G Duggins	Cabinet Member for Policy and Leadership	-	2/3/2023	3/3/2023

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